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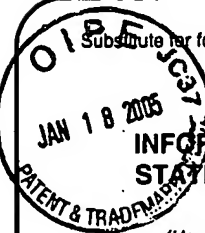
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PTO/SB/08a (08-03)

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|  <p>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use as many sheets as necessary)</p> | | Substitute for form 1449A/PTO | | Application Number | 10/608,404 |
| | | Filing Date | JUNE 26, 2003 | | |
| | | First Named Inventor | LIU, ET AL. | | |
| | | Group Art Unit | 1765 1742 | | |
| | | Examiner Name | UNKNOWN Alexander | | |
| | | Attorney Docket Number | AMAT/5699.P3/CMP/CMP/RKK | | |
| Sheet 1 | of 2 | Submission Date | JANUARY 14, 2005 | | |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | Number-Kind Code ² (if known) | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ³ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁶ (if known) | | | | |
| mfa | B1 | WO 03/72672 | 09/04/2003 | LIU, ET AL. | | |
| | B2 | | | | | |
| | B3 | | | | | |
| | B4 | | | | | |
| | B5 | | | | | |

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| Examiner  | Date Considered <u>June 17, 2005</u> |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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|--|--|--|--|------------------------|--------------------------|
| Substitute for form 1449B/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 10/608,404 |
| | | | | Filing Date | JUNE 26, 2003 |
| | | | | First Named Inventor | LIU, ET AL. |
| | | | | Group Art Unit | 1765 1742 |
| | | | | Examiner Name | UNKNOWN Alexander |
| | | | | Attorney Docket Number | AMAT/5699.P3/CMP/CMP/RKK |
| | | | | Sheet | 2 |

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Substitute for form 14498/PTO

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

1

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1

Application Number

10/608,404

Filing Date

JUNE 26, 2003

First Named Inventor

LIU, ET AL.

Group Art Unit

~~1765~~ 1749

Examiner Name

~~UNKNOWN~~ Alexander

Attorney Docket Number

AMAT/5699.P3/CMP/CMP/RKK

Submission Date

April 22, 2004

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|--------------------------------|---|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | A1 | WO 99/46081 A1 | 09/16/1999 | STRASBAUGH | | |

NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner

Date Considered

June 17, 2005

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention | METHOD AND COMPOSITION FOR POLISHING A SUBSTRATE | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|------------|------------|-----------------|------|-------|----------|------|----------|------------|------|----------|------|-------|----------|----|---|---------|------------|----------------|--|--|--|--|---|---------|------------|---------------|--|--|--|--|---|---------|------------|----------------|--|--|--|--|---|---------|------------|--------|--|--|--|--|---|---------|------------|------------|--|--|--|--|---|---------|------------|-----------------|--|--|--|--|---|---------|------------|--------------|--|--|--|--|---|---------|------------|--------------|--|--|--|--|---|---------|------------|---------------|--|--|--|--|----|---------|------------|-----------------|--|--|--|--|----|---------|------------|---------------|--|--|--|
| <p>Application Number: 10/608404</p> <p>Confirmation Number: 7966</p> <p>First Named Applicant: FENG LIU</p> <p>Attorney Docket Number: AMAT5699P3</p> <p>Art Unit: 1765</p> <p>Search string: (6693036 or 6679929 or 6679928 or 6676484 or 6620215 or 6616976 or 6605537 or 6602112 or 6596638 or 6593239 or 6565619 or 6562719 or 6551935 or 6508952 or 6455479 or 6454819 or 6447371 or 6440186 or 6429133 or 6428721 or 6419554 or 6375693 or 6315883 or 6315803 or 6310019 or 6303551 or 6303049 or 6273786 or 6258721 or 6258711 or 6248222 or 6238592 or 6206756 or 6176992 or 6171352 or 6117783 or 6103096 or 6099604 or 6083840 or 6063306 or 6066030).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>MA</td><td>1</td><td>6693036</td><td>2004-02-17</td><td>Nogami, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>6679929</td><td>2004-01-20</td><td>Asano, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>6679928</td><td>2004-01-20</td><td>Costas, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>6676484</td><td>2004-01-13</td><td>Chopra</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>6620215</td><td>2003-09-16</td><td>Li, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>6616976</td><td>2003-09-09</td><td>Montano, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>6605537</td><td>2003-08-12</td><td>Bian, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>6602112</td><td>2003-08-05</td><td>Tran, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>6596638</td><td>2003-07-22</td><td>Kondo, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>6593239</td><td>2003-07-15</td><td>Kaufman, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>6565619</td><td>2003-05-20</td><td>Asano, et al.</td><td></td><td></td><td></td></tr></tbody></table> | | | | | | | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | MA | 1 | 6693036 | 2004-02-17 | Nogami, et al. | | | | | 2 | 6679929 | 2004-01-20 | Asano, et al. | | | | | 3 | 6679928 | 2004-01-20 | Costas, et al. | | | | | 4 | 6676484 | 2004-01-13 | Chopra | | | | | 5 | 6620215 | 2003-09-16 | Li, et al. | | | | | 6 | 6616976 | 2003-09-09 | Montano, et al. | | | | | 7 | 6605537 | 2003-08-12 | Bian, et al. | | | | | 8 | 6602112 | 2003-08-05 | Tran, et al. | | | | | 9 | 6596638 | 2003-07-22 | Kondo, et al. | | | | | 10 | 6593239 | 2003-07-15 | Kaufman, et al. | | | | | 11 | 6565619 | 2003-05-20 | Asano, et al. | | | |
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| MA | 1 | 6693036 | 2004-02-17 | Nogami, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 2 | 6679929 | 2004-01-20 | Asano, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 3 | 6679928 | 2004-01-20 | Costas, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 4 | 6676484 | 2004-01-13 | Chopra | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 5 | 6620215 | 2003-09-16 | Li, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 6 | 6616976 | 2003-09-09 | Montano, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 7 | 6605537 | 2003-08-12 | Bian, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 9 | 6596638 | 2003-07-22 | Kondo, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 38 | 6099604 | 2000-08-08 | Sandhu, et al. |
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| | 40 | 6063306 | 2000-05-16 | Kaufman, et al. |
| ↓ | 41 | 6066030 | 1999-08-15 | Datta |

Signature

| | |
|---|---------------|
| Examiner Name | Date |
|  | June 17, 2005 |



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

| Title of Invention | METHOD AND COMPOSITION FOR POLISHING A SUBSTRATE | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| <p>Application Number: 10/608404</p> <p>Confirmation Number: 7966</p> <p>First Named Applicant: FENG LIU</p> <p>Attorney Docket Number: AMAT5699P3</p> <p>Art Unit: 1765</p> <p>Search string: (6001730 or 5897375 or 5880003 or 5846882 or 5783489 or 5770095 or 5407526 or 5391258 or 5340370 or 5209816 or 5114548 or 4992135 or 4934102 or 4793895 or 5543032 or 20030170091 or 20030153184 or 20030136055 or 20030083214 or 20030079416 or 20030073386 or 20020139055 or 20020096659 or 20020088709 or 20020070126 or 20020016272 or 20010024878 or 20010042690).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>mm</td><td>1</td><td>6001730</td><td>1999-12-14</td><td>Farkas, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>5897375</td><td>1999-04-27</td><td>Watts, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>5880003</td><td>1999-03-09</td><td>Hayashi</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>5846882</td><td>1998-12-08</td><td>Birang</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>5783489</td><td>1998-07-21</td><td>Kaufman, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>5770095</td><td>1998-06-23</td><td>Sasaki, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>5407526</td><td>1995-04-18</td><td>Danielson, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5391258</td><td>1995-02-21</td><td>Branacaleoni, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>5340370</td><td>1994-08-23</td><td>Cadien, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>5209816</td><td>1993-05-11</td><td>Yu, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>5114548</td><td>1992-05-19</td><td>Rhoades</td><td></td><td></td><td></td></tr><tr><td>↓</td><td>12</td><td>4992135</td><td>1991-02-12</td><td>Doan</td><td></td><td></td><td></td></tr></tbody></table> | | | | | | | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | mm | 1 | 6001730 | 1999-12-14 | Farkas, et al. | | | | | 2 | 5897375 | 1999-04-27 | Watts, et al. | | | | | 3 | 5880003 | 1999-03-09 | Hayashi | | | | | 4 | 5846882 | 1998-12-08 | Birang | | | | | 5 | 5783489 | 1998-07-21 | Kaufman, et al. | | | | | 6 | 5770095 | 1998-06-23 | Sasaki, et al. | | | | | 7 | 5407526 | 1995-04-18 | Danielson, et al. | | | | | 8 | 5391258 | 1995-02-21 | Branacaleoni, et al. | | | | | 9 | 5340370 | 1994-08-23 | Cadien, et al. | | | | | 10 | 5209816 | 1993-05-11 | Yu, et al. | | | | | 11 | 5114548 | 1992-05-19 | Rhoades | | | | ↓ | 12 | 4992135 | 1991-02-12 | Doan | | | |
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Note: Applicant is not required to submit a paper copy of cited US Published Applications

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Signature

| Examiner Name | Date |
|---|---------------|
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Sheet 1

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|------------------------|------------------------------|
| Application Number | 10/608,404 |
| Filing Date | JUNE 26, 2003 |
| First Named Inventor | FENG Q. LIU, ET AL. |
| Group Art Unit | 7966 1742 |
| Examiner Name | UNKNOWN Alexander |
| Attorney Docket Number | AMAT/5699.P3/CMP/CMP/RKK |
| Submission Date | March 17, 2004 |

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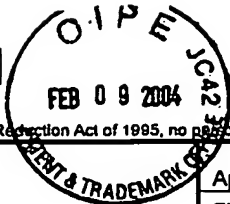
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|------------------------|------------------------------|
| Application Number | 10/608,404 |
| Filing Date | June 26, 2003 |
| First Named Inventor | Liu, et al. |
| Group Art Unit | Unknown 1742 |
| Examiner Name | Unknown Alexander |
| Attorney Docket Number | AMAT/5699.P3/CMP/CMP/RKK |
| Submission Date | February __, 2004 |

Sheet 1

of 1

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Applicant Liu, et al. | Confirmation No.: Unknown |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Applicant Liu, et al. | Confirmation No.: Unknown |
| (Use several sheets if necessary) | | Filing Date June 26, 2003 | Group Unknown 1742 |
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